



Bahamas International Securities Exchange

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Part 1: Trading Rules Amendments

Amendment #1

(New Rule): Section 4 Sub-section 1.5 (5) "Should an Order that is entered or a Trade that is executed conflict with these rules or published Trading Notices from the Exchange, then the Order or the Trade would not be considered valid and be subject to cancellation by the Exchange."

Purpose of Amendment – BISX must ensure the operation of an orderly market, in this regard, the Exchange must be able to cancel trades that are effected in conflict with the BISX Rules or published Trading Notices from the Exchange.



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Amendment #2

(New Rule): Section 4 Sub-section 2.3 (14) “Should there be a conflict between information detailed within the BATS, and Freeze Parameters Freeze Alerts as notified to Members by the Exchange, Members are required to comply with the Freeze Alert disseminated by the Exchange and notified to Members.”

Purpose of Amendment – BISX notifies Members of changes to Freeze Parameters via the publication of Trading Notices. If for some unforeseen reason, the BATS does not reflect the information contained in the Trading Notice, the Exchange must ensure that Members have clarity regarding the actions expected of them and the actions to be taken by the Exchange and Members in such instances. This rule addition reduces confusion, while also protecting the market from the possibility of actions counter to published Trading Notices.